# MAR 3 1 2004 25

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Paul I. Nippes

Attorney Docket No. 4623-105 US

Serial No.: 10/750,300

Group Art Unit: TBA

Filed: I

**December 31, 2003** 

Examiner: TBA

Title:

Early Warning And Problem Detection In Rotating Machinery By

Monitoring Shaft Voltage And/Or Grounding Current

#### Information Disclosure Statement

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby brings to the attention of the United States Patent and Trademark Office the following documents of which Applicant has been made aware. The documents are listed on the attached PTO Form 1449. Copies of the documents are enclosed.

Applicant submits that this Information Disclosure Statement is submitted within six months of the filing date.

It is requested that the references be considered by the Examiner and be made of record as part of the available prior art under 37 C.F.R. § 1.104.

Respectfully submitte

Pavid P Krivoshik

Reg. No. 39,258

Attorney for Applicant

MATHEWS, COLLINS, SHEPHERD & McKAY

100 Thanet Circle, Suite 306

Princeton, NJ 08540

(609) 924-8555 - Telephone

(609) 924-3036 - Facsimile

Approved for use through 10/31/2002, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/750.300 Filing Date December 31, 2003 First Named Inventor Paul I. Nippes Group Art Unit **TBA Examiner Name TBA** 4623-105 US Attorney Docket Number

(use as many sheets as necessary) Sheet of

	U.S. PATENT DOCUMENTS						
Examiner	Cito	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
Initials *	Cite No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (if known)		Cited Document	Passages or Relevant Figures Appear		
	1	US-3,831,160	08/20/1974	Cronin, et al.			
	2	US- 4,378,138	03/29/1983	Sohre			
	3	US- 5,032,826	07/16/1991	Miller, et al.			
	4	US- 5,134,378	07/28/1992	Twerdochlib			
,	5	US- 5,355,040	10/11/1994	New			
	6	US- 5,488,281	01/30/1996	Unsworth, et al.			
	7	US- 5,521,482	05/28/1996	Lang, et al.			
	8	US- 5,574,387	11/12/1996	Petsche, et al.			
	9	US- 5,675,497	10/07/1997	Petsche, et al.			
	10	US- 5,680,025	10/21/1997	Bowers, III, et al.			
	11	US- 5,715,609	02/10/1998	Nower, et al.			
	12	US- 5,726,911	03/10/1998	Canada, et al.			
	13	US- 5,739,698	04/14/1998	Bowers, et al.			
		US-					
		US-					
		US-					
		US-					
		US-					
		US-			***		
		US-					

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				T <sup>6</sup>	
						,,	

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

bstitute for form 1449A/PTO

2

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) 2

Complete if Known			
Application Number	10/750,300		
Filing Date	December 31, 2003		
First Named Inventor	Paul I. Nippes .		
Group Art Unit	TBA		
Examiner Name	TBA		
Attorney Docket Number	4623-105 US	_	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	14	PAUL I. NIPPES, Principles of Magnetism and Stray Currents in Rotating Machinery, http://www.gaussbusters.com, 06/22/1998, pages 1-19			
	15	ELCO DOWDING & MILLS, Motormonitor, http://www.eleco.co.uk/motmon/motmmain.htm, June 26, 1998, 9 pages			
_	16	Motor Monitor Product Profile, Peak Energy/ECNZ, June 26, 1998, http://www.peak.co.nz/nmprof.html, pages 1-5			
	17	Electronic Motor Monitor Tells All, Ideas & Applications, June 26, 1998, http://www.penton.com/hp/idapp/idapp2.html, 3 pages			

Examiner	Date	·
Signature	 Considered	/

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



<sup>1</sup> Unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.



#### Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 29, 2004.

Joyce Maule

Name

Joyce Moule

Application Number: 10/750,300 Filing Date: December 31, 2003 First Named Inventor: Paul I. Nippes

Examiner Name: TBA Group/Art Unit: TBA

Attorney Docket No.: 4623-105 US

### Enclosed in this mailing:

- 1. This Certificate of First Class Mail (1 page);
- 2. Information Disclosure Statement Cover Sheet (1 page);
- 3. Form 1449 (2 pages);
- 4. Art as cited on Form 1449;
- 5. Acknowledgement Post Card.